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11-23-01

A

**UTILITY  
PATENT APPLICATION  
TRANSMITTAL**

Attorney Docket No. 1473.SME.NP  
First Inventor Abbas Ben Afshari  
Title ILLUMINATED SIGHT PIN  
Express Mail Label No. EL902856550US

Only for new nonprovisional applications under 37 CFR 1.53(b)

**APPLICATION ELEMENTS**

**ADDRESS TO:**

Assistant Commissioner for Patents  
Box Patent Application  
Washington, D.C. 20231

1. ☒ Fee Transmittal Form (e.g., PTO/SB/17)  
(Submit an original and a duplicate for fee processing)
2. ☒ Applicant claims small entity status
3. ☒ Specification [Total Pages 39]  
(preferred arrangement set forth below)
- Descriptive title of the invention
  - Cross Reference to Related Applications
  - Statement Regarding Fed sponsored R&D
  - Reference to sequence listing, a table, or computer program listing appendix
  - Background of the Invention
  - Brief Description of the Drawings (if filed)
  - Detailed Description
  - Claim(s)
  - Abstract of the Disclosure
4. ☒ Drawing(s) (35 U.S.C. 113) [Total Sheets 9]
5. Oath or Declaration [Total Pages 2]
- a. ☒ Newly executed (original or copy)
- b. ☐ Copy from a prior application (37 CFR 1.63 (d))  
(for continuation/divisional with Box 17 completed)
- i. ☐ DELETION OF INVENTOR(S)  
Signed statement attached deleting inventor(s) named in the prior application, see 37 CFR 1.63(d)(2) and 1.33(b).
6. ☐ Application Data Sheet. See 37 CFR 1.76

7. ☐ CD-ROM or CD-R in duplicate, large table or Computer Program (Appendix)
8. ☐ Nucleotide and/or Amino Acid Sequence Submission (if applicable, all necessary)
- a. ☐ Computer Readable Form (CRF)
- b. Specification Sequence Listing on:
- i. ☐ CD-ROM or CD-R (2 copies); or
  - ii. ☐ paper
- c. ☐ Statements verifying identity of above copies

11/20/01  
09/991243  
PTO  
J1036 U.S.

**ACCOMPANYING APPLICATION PARTS**

9. ☐ Assignment Papers (cover sheet & document(s))
10. ☐ 37 CFR 3.73(b) Statement (when there is an assignee) ☐ Power of Attorney
11. ☐ English Translation Document (if applicable)
12. ☐ Information Disclosure Statement (IDS)/PTO-1449 ☐ Copies of IDS Citations
13. ☐ Preliminary Amendment
14. ☒ Return Receipt Postcard (MPEP 503) (Should be specifically itemized)
15. ☐ Certified Copy of Priority Document(s) (if foreign priority is claimed)
16. ☒ Request and Certification under 35 U.S.C. 122(b)(2)(B)(i)
17. ☐ Other:

17. If a CONTINUING APPLICATION, check appropriate box, and supply the requisite information below and in a preliminary amendment, or in an Application Data Sheet under 37 CFR 1.76:

☐ Continuation ☐ Divisional ☐ Continuation-in-part (CIP)

of prior application No.: 60/264,461

Prior application information:

Examiner

Group / Art Unit:

**18. CORRESPONDENCE ADDRESS**

☒ Customer Number or Bar Code Label



26986

or ☒ Correspondence address below

Name	Frank W. Compagni				
Address	MORRISS, BATEMAN, O'BRYANT & COMPAGNI 5882 South 900 East, Suite 300				
City	Salt Lake City	State	Utah	Zip Code	84121
Country	United States	Telephone	(801) 685-2302	Fax	(801) 685-2303

Name (Print/Type)	Frank W. Compagni	Registration No.	40,567
Signature			Date 11/20/01

**CERTIFICATE OF MAILING UNDER 37 C.F.R. § 1.10**

I hereby certify that the items listed above in this transmittal sheet are being deposited with the United States Postal Service "Express Mail Post Office to Addressee" service under 37 C.F.R. § 1.10 on the date indicated below and is addressed to: Assistant Commissioner for Patents, Box Patent Application, Washington, D.C. 20231.

Name: Frank W. Compagni

Date 11/20/01

Express Mail No.: EL902856550US

# FEE TRANSMITTAL

## for FY 2002

*Patent fees are subject to annual revision.*

*Complete if Known*

Application Number

Filing Date

November 20, 2001

First Named Inventor

Abbas Ben Afshari

Examiner Name

Group / Art Unit

Attorney Docket No.

1473.SME.NP

TOTAL AMOUNT OF PAYMENT **(\$460.00)**

### METHOD OF PAYMENT (check one)

1. ☒ The Commissioner is hereby authorized to charge indicated fees and credit any overpayments to:

Deposit  
Account  
Number

**50-0881**

Deposit  
Account  
Number

Charge Any Additional Fee Required  
Under 37 CFR 1.16 and 1.17

- ☒ Applicant claims small entity status  
See 37 CFR 1.27

2. ☒ Payment Enclosed:

☐ Check ☒ Credit Card ☐ Money Order ☐ Other

### FEE CALCULATION

#### BASIC FILING FEE

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
101	740	201	370	Utility Filing Fee	<b>370</b>
106	330	206	165	Design Filing Fee	
107	510	207	255	Plant Filing Fee	
108	740	208	370	Reissue Filing fee	
114	160	214	80	Provisional filing fee	
SUBTOTAL (1)					<b>\$370</b>

#### 2. EXTRA CLAIM FEES

Total Claims	<b>30</b>	-20**=	<b>10</b>	x	Fee from below	<b>9</b>	Fee Paid	<b>90</b>
Indep Claims	<b>3</b>	-3**=	<b>0</b>					
Multiple Dependent								

\*\*or number previously paid, if greater; For Reissues, see below

Large Entity		Small Entity		Fee Description	Fee Paid
Fee Code	Fee (\$)	Fee Code	Fee (\$)		
103	18	203	9	Claims in excess of 20	
102	84	202	42	Indep. Claims in excess of 3	
104	280	204	140	Mltpl dep. claims, if not paid	
109	84	209	42	**Reissue indep. claims over original patent	
110	18	210	9	**Reissue claims in excess of 20 over original patent	
SUBTOTAL (2)					<b>\$90</b>

### FEE CALCULATION (continued)

#### 3. ADDITIONAL FEES

Large Entity Small Entity

Fee Code	Fee (\$)	Fee Code	Fee (\$)	Fee Description	Fee Paid
105	130	205	65	Surcharge - the filing fee or oath	
127	50	227	25	Surcharge-late provisional filing or cover sheet.	
139	130	139	130	Non-English specification	
147	2520	147	2520	For filing a request for reexamination	
112	920*	112	920*	Requesting publication of SIR Prior to Examination action	
113	1840*	113	1840*	Requesting publication of SIR After Examiner action	
115	110	215	55	Extension for reply within 1 <sup>st</sup> mo.	
116	400	216	200	Extension for reply within 2 <sup>nd</sup> mo.	
117	920	217	460	Extension for reply within 3 <sup>rd</sup> mo.	
118	1440	218	720	Extension for reply within 4 <sup>th</sup> mo.	
128	1960	228	980	Extension for reply within 5 <sup>th</sup> mo.	
119	320	219	160	Notice of Appeal	
120	320	220	160	Filing a brief in support of appeal	
121	280	221	140	Request for oral hearing	
138	1510	138	1510	Petition to institute a public use proceeding	
140	110	240	55	Petition to revive - unavoidable	
141	1280	241	640	Petition to revive - unintentional	
142	1280	242	640	Utility issue fee (or reissue)	
143	460	243	230	Design issue fee	
144	620	244	310	Plant issue fee	
122	130	122	130	Petitions to the Commissioner	
123	50	123	50	Petitions related to provisional applications	
126	180	126	180	Submission of IDS	
581	40	581	40	Recording each patent assignment per property (x number of properties)	
146	740	246	370	Filing a submission after final rejection (37 CFR 1.129(a))	
149	740	249	370	For each additional invention to be examined (37 CFR 1.129(b))	
179	740	279	370	Request for Continued Examination (RCE)	
169	900	169	900	Request for expedited examination of a design application	
Other fee (specify)					

\*Reduced by Basic Filing Fee Paid SUBTOTAL (3) \$

#### SUBMITTED BY

Typed or  
Printed Name

Frank W. Compagni

Signature

Date

11/20/01

Telephone

(801) 685-2302

Complete (if applicable)

<b>NONPUBLICATION REQUEST UNDER 35 U.S.C. 122(b)(2)(B)(i)</b>	First Named Inventor		Abbas Ben Afshari
	Title	ILLUMINATED SIGHT PIN	
	Atty Docket Number		1473.SME.NP

I hereby certify that the invention disclosed in the attached application **has not and will not be** the subject of an application filed in another country, or under a multilateral agreement, that requires publication at eighteen months after filing.

I hereby request that the attached application not be published under 35 U.S.C. 122 (b).

11/20/01  
Date

  
Signature

Frank W. Compagni  
Typed or printed name

This request must be signed in compliance with 37 CFR 1.33(b) and submitted with the application **upon filing**.

Applicant may rescind this nonpublication request at any time. If applicant rescinds a request that an application not be published under 35 U.S.C. 122(b), the application will be scheduled for publication at eighteen months from the earliest claimed filing date for which a benefit is claimed.

If applicant subsequently files an application directed to the invention disclosed in the attached application in another country, or under multilateral international agreement, that requires publication of applications eighteen months after filing, the applicant **must** notify the United States Patent and Trademark Office of such filing within forty-five (45) days after the date of filing of such foreign or international application. **Failure to do so will result in abandonment of this application (35 U.S.C. 122(b)(2)(B)(iii)).**